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|--|---------------|----------|--------------|--------------------------|------------------|
| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | | Docket No. 112780-051 | |
| In Re Application Of: S. Murakami et al. | | | | | |
| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
| 10/574,977 | April 7, 2006 | unknown | 43793 | unknown | unknown |
| Title: PIN ASSEMBLY OF TRACK ROLLER BOGIE IN CRAWLER TYPE TRAVELING APPARATUS AND CRAWLER TYPE TRAVELING APPARATUS WITH THE ASSEMBLY | | | | | |
| <div style="display: flex; justify-content: space-around; align-items: center;"><div style="text-align: center;"></div><div style="text-align: center;">Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</div></div> <p style="text-align: center;">37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;">37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center; margin: 10px 0;">OR</p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> | | | | | |

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

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| 10/574,977 | April 7, 2006 | unknown | 43793 | unknown | unknown |

Title: PIN ASSEMBLY OF TRACK ROLLER BOGIE IN CRAWLER TYPE TRAVELING APPARATUS AND CRAWLER TYPE TRAVELING APPARATUS WITH THE ASSEMBLY

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-3189 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

August 25, 2006

(Date)

Signature of Person Mailing Correspondence

Michael S. Leonard

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Dated: August 25, 2006

Michael S. Leonard, Reg. No. 37,557
Everest Intellectual Property Law Group
P.O. Box 708
Northbrook, IL 60065
Phone: 847-272-3400
Fax: 847-272-3417

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:)
 S. Murakami et al.)
 For: PIN ASSEMBLY OF TRACK)
 ROLLER BOGIE IN CRAWLER)
 TYPE TRAVELING APPARATUS)
 AND CRAWLER TYPE)
 TRAVELING APPARATUS WITH)
 THE ASSEMBLY)
 Serial No.: 10/574,977)
 Filed: April 7, 2006)
 Examiner: unknown)
 Art Unit: unknown)
 Conf. No.: unknown)
 Atty Dkt No.: 112780-051)

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Office with sufficient postage as first class mail in an envelope addressed to: MAIL STOP, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 25, 2006.


 Michael S. Leonard

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicant requests that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

| <u>Document No.</u> | <u>Date</u> | <u>Inventor</u> |
|---------------------|---------------|-----------------|
| 3,554,588 | Jan. 12, 1971 | Reinsma et al. |
| 3,601,454 | Aug. 24, 1971 | Reinsma |
| 3,829,173 | Aug. 13, 1974 | Stedman |
| 4,339,156 | Jul. 13, 1982 | Livesay |

| | | |
|-----------------|---------------|----------------|
| 4,445,582 | May 1, 1984 | Andersson |
| 4,582,367 | Apr. 15, 1986 | Jacquet et al. |
| 4,583,791 | Apr. 22, 1986 | Nagata et al. |
| 4,817,746 | Apr. 4, 1989 | Purcell et al. |
| 5,997,109 | Dec. 7, 1999 | Kautsch |
| 2002/0185915 A1 | Dec. 12, 2002 | Yoshida et al. |
| 6,607,256 B2 | Aug. 19, 2003 | Yoshida et al. |

FOREIGN PATENT DOCUMENTS

| <u>Document No.</u> | <u>Date</u> | <u>Country</u> |
|---------------------|---------------|----------------|
| 2-109640 | Sep. 3, 1990 | JP |
| 2-132593 | Nov. 2, 1990 | JP |
| 5-29890 | Apr. 20, 1993 | JP |
| 2560322 | Oct. 3, 1997 | JP |
| 10-297550 | No. 10, 1998 | JP |
| 2001-225770 | Aug. 21, 2001 | JP |
| 2002-362441 | Dec. 18, 2002 | JP |

The JP documents are not in the English language, and an English language abstract is enclosed for each JP document. Also, JP '322, JP '770 and US '588 are discussed in the specification of this application.

Applicants do not believe any fee is due in connection with this Information Disclosure Statement. However, to the extent that any additional fees are due and owing, the Commissioner is hereby authorized to charge Everest Intellectual Property Law Group's deposit account, number 50-3189.

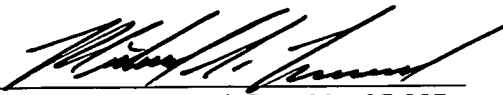
Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

EVEREST INTELLECTUAL PROPERTY LAW GROUP

Date: August 25, 2006

BY


Michael S. Leonard, Reg. No. 37,557
Attorneys for Applicant
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Northbrook, IL 60065
Phone: (847) 272-3400



**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use several sheets if necessary)

PTO Form 1449

| | |
|---------------------------------|-------------------------------|
| Atty Docket No. 112780-051 | Application No. 10/574,977 |
| Applicant S. Murakami et al. | |
| Filing Date April 7, 2006 | Group Unknown |

U.S. PATENT DOCUMENTS

| Examiner's Initials | Document Number | Publication Date | Inventor | Class | Subclass | Filing Date If Appropriate |
|------------------------|--------------------|---------------------|----------------|-------|----------|----------------------------------|
| | 3,554,588 | Jan. 12, 1971 | Reinsma et al. | | | |
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| Examiner's Initials | Document Number | Publication Date | Country | Class | Subclass | Translation | |
|------------------------|--------------------|---------------------|---------|-------|----------|-------------|----|
| | | | | | | Yes | No |
| | 2-109640 | Sep. 3, 1990 | JP | | | | |
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| | 5-29890 | Apr. 20, 1993 | JP | | | | |
| | 2560322 | Oct. 3, 1997 | JP | | | | |
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| | 2001-225770 | Aug. 21, 2001 | JP | | | | |
| | 2002-362441 | Dec. 18, 2002 | JP | | | | |
| | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| Examiner's Initials | |
|------------------------|--|
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Examiner:

Date Considered:

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.